

Adverse Information

Adverse Information:

- Negatively reflects on the Integrity or Character of a Cleared employee
- Suggests that one's ability to safeguard classified information may be impaired
- Indicates one's access to classified information clearly may NOT be in the best interest of national security

It is the responsibility of all employees to report to Security any adverse information concerning another cleared employee

Adjudicative Guidelines

There are 13 Adjudicative Guidelines used in determining eligibility to perform sensitive duties as well as evaluating the impact of a potentially derogatory event:

- Allegiance to the U.S.
- Foreign influence
- Foreign preference
- Sexual behavior
- Personal conduct
- Financial considerations
- Alcohol consumption
- Drug involvement
- Psychological conditions
- Criminal conduct
- Handling protected information
- Outside activities
- Use of information technology systems

NISPOM 1-300 REPORTING REQUIREMENTS

- Impact on the status of the facility clearance (FCL)
- Impact on the status of an employee's personnel security clearance (PCL)
- Affect proper safeguarding of classified information
- Indicate that classified information has been lost or compromised

NISPOM 1-302a Adverse Information

- Report Adverse Information that comes to your attention concerning any of your cleared employees
- Reports based on rumor and innuendo should not be made
- Adverse Information for terminated employees should be reported

Examples of Adverse Information

- Arrest for any serious violation of the law
- Use of illegal drugs or misuse of controlled substances
- Any pattern of security violations or disregard for security regulations
- Excessive indebtedness/recurring financial difficulties
- Bizarre or disgraceful conduct
- Treatment for mental or emotional disorders

Where and How to Submit Adverse Information Reports

FBI

Reports on espionage, sabotage, terrorism, or subversive activities go to the FBI with a copy to IS Rep

DoDCAF/PSMO-I

Reports on people, including KMPs, go to DoDCAF/PSMO-I usually via the JPAS RRU or Incident Report

DSS IS REP Field Office

Reports on the Facility, including KMPs, go to your DSS IS Rep at the DSS Field Office

Training Personnel is the Key

- Brief all cleared personnel on what to report
- As an FSO, get out into the workplace and talk to the employees
- Inform employees to be vigilant
- Keep HR in the loop
- Become involved in the company and the community
- Know what services are available

Reports of Loss Compromise or Suspected Compromise of Classified Information

- Upon initial discovery you must initiate a preliminary inquiry
 - Get as many facts as possible
 - Notify the DSS Field Office immediately (via phone or e-mail to your IS REP)
 - Initial report due by close of business on the following day
 - Final report is submitted upon completion of your detailed inquiry (normally within 15 days after submission of the initial report)

Do Adverse Information Reports Do Any Good?

- Yes, they do
- Help identify individuals whose continued access to Classified information requires reassessment
- Frequently, Adverse Information Reports do result in reinvestigations and in some cases clearances (eligibilities) are REVOKED

Can Incident Reports Prevent Spies?

Examples of espionage that have occurred in the past clearly indicate where adverse incident reporting might have prevented or decreased the resultant damage

- Aldrich Ames, 31-year CIA veteran who spied for Russia
 - Was an alcoholic with an income of \$70K a year
 - Drove a \$40K Jaguar
 - Paid cash for a half-million dollar home
 - Wore expensive suits
 - Wore a Rolex watch
 - Had monthly credit card bills in excess of \$30K

Can Incident Reports Prevent Spies?

Examples of espionage that have occurred in the past clearly indicate where adverse incident reporting might have prevented or decreased the resultant damage

- Robert Hanssen , 27-year FBI employee who spied for Russia for 15 years
 - Motivated by ego gratification
 - Disgruntled with his job at the FBI
 - Had school tuition for 4 children
 - Spent 70K for home remodeling
 - Spent 80K on a stripper
 - Involved in illicit and immoral activities

Examples of Adverse Information

- Example #1 Individual was discovered illegally downloading movies and has been served with a civil suit
- Example #2 Individual was involved in a case of road rage where he actually assaulted another individual (charges have been pressed)
- Example #3 Individual has a foreign passport that he refuses to turn in
- Example #4 Individual is in the process of a short sale of his house

Ways to Report Adverse Information

- Report adverse information through JPAS
- Fax adverse information to DoDCAF at 443-661-1140
 - Defense Security Service
 - ATTN: PSMO-I
 - 7556 Teague Road, suite 500
 - Hanover, MD 21076
 - Phone: 443-661-1320
 - Ask PSMO-I@dss.mil
- Notify local DSS Rep

How to Submit an Incident Report via JPAS

Accesses

Category	US Access	PSP	Suitability and Trustworthiness	Available Actions
Industry (Contractor) 1Y2R3-I	Top Secret	No	II; N/A Public Trust; N/A Child Care; N/A	Indoctrinate Non-SCI Debrief Non-SCI

Person Category Information

Category Classification: Contractor
Organization: 1Y2R3-I, Lockheed Martin Corporation - 100 Global Innovation Circle, Orlando, FL 32825
Organization Status: Top Secret, ACTIVE, 2002 06 26
Occupation Code: N/A
Separation Date: N/A
SCI SMO: N/A
Non-SCI SMO: LOCKHEED MARTIN CORPORATION, LMSECURITY, Level 4, 407-306-7311, clearances.lmsecurity@lmco.com
Visits: lmsecurity@lmco.com joseph.j.jessop@lmco.com steven.d.burke@lmco.com
Servicing SMO: Yes
Office Symbol: N/A
Position Code: N/A
Arrival Date: N/A
Office Phone Comm: N/A
Separation Status: N/A
Interim: N/A
Grade: N/A
PS: N/A
RNLT: N/A
Office Phone DSN: N/A
TAFMSD: N/A
Proj. Departure Date: N/A
Proj. UIC/RUC/PASCODE: N/A

[Report Incident](#) [In/Out Process](#) [Suspense Data](#) [Investigation Request](#) [Remarks](#)

Investigation Summary [Investigation History](#)

PPR from OPM, Opened: 2010 10 18 Closed 2010 11 23
SSBI from OPM, Opened: 2005 09 27 Closed 2006 03 28

Adjudication Summary [Adjudication History](#)

PSI Adjudication of PPR OPM, Opened 2010 10 18, Closed 2010 11 23, determined Eligibility of
PSI Adjudication of PPR OPM, Opened 2010 10 18, Closed 2010 11 23, determined Eligibility of Top Secret on 2011 01 04 DISCO

External Interfaces

[Perform SII Search](#) [DCII](#)

Foreign Relation: N/A

Select An Existing Incident: []

*Incident Status: Initial Follow-up Final

*Date of Incident: 2012 12 12

Action: Suspend Access

*Select CAF/Team: DOD CAF Industry

Suspension Start Date:

Incident Criteria:

Allegiance to the United States Financial Considerations Security Violations

Foreign Influence Alcohol Consumption Outside Activities

Foreign Preference Drug Involvement Misuse of Information Technology Systems

Sexual Behavior Emotional, Mental and Personality Disorders

Personal Conduct Criminal Conduct

Incident Report

Status	Date	Description
*Unclassified		Description of Incident: (Only required for Initial and Follow-up Incident Status)

[Save](#) [Cancel](#)

FOR OFFICIAL USE ONLY (FOUO)

In accordance with DoD Regulations and the Privacy Act of 1974, you must safeguard personnel information retrieved through this system. DoD Regulations are: 5 USC 301 - Departmental Regulations, DoD 5200.1-R - The Information Security Program, Title 5, United States Code, Section 552a Public Law 93-579 (Privacy Act of 1974), DoD Directive 5400.07 - The Freedom of Information Act (FOIA) Program, DoD 5400.11-R - DoD Privacy Program, and DTM/JL 009 Security Classification Marking Instructions

BOTTOM LINE

- Establish procedures to ensure cleared personnel are aware of their responsibilities for reporting
- Know when and how to report adverse information
- Keep the appropriate offices advised (IS Rep, DSS, FBI, DoDCAF/PSMO-I)
- Do not make reports based on rumors or innuendo
- Make sure you talk to your HR department so they will know what to report to you